



STATE AUDITOR'S OFFICE

February 2020

Audits at the Office of the Comptroller of Public Accounts

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First Assistant State Auditor



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Interim Charge

Monitor the State Auditor's review of agencies and programs under the Committee's jurisdiction. The Chair shall seek input and periodic briefings on completed audits for the 2019 and 2020 fiscal years and bring forth pertinent issues for full committee consideration.



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Background

- Recurring Audits.
- Performance Audits.
- Audits that follow-up on previous SAO recommendations.



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Recurring Audits

State of Texas Financial Portion of the
Statewide Single Audit Report for the Year Ended August 31, 2018

(Report No. 19-555, February 2019)



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An Audit Report on Selected Major Agreements Under the Texas Economic Development Act (Report No. 19-046, July 2019)

Summary of Chapters and Related Issue Ratings

Chapter	Title	Issue Rating ^a
1	Summary of the Agreements Audited	Not Rated
2-A	Processing Applications for Agreements and Developing Agreements	Low
2-B	Reinvestment Zone Noncompliance	Medium
2-C	Payments to ISDs Under Agreements	Medium
2-D	Conflict of Interest Disclosures	Low
3	Compliance Monitoring and Reporting	Medium

^a See report appendix 2 for the issue rating classifications and descriptions.



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Performance Audits

A Report on Analysis of Quality Assurance Team Projects
(Report No. 19-007, November 2018)

Project Summary as of August 29, 2018

Project Name: Unclaimed Property System Replacement Project

Project Completion Date: May 31, 2018

Total Expenditures: \$4,766,947

Status: 100 percent complete.

Sources: The QAT and information that the Comptroller's Office provided to auditors.



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An Audit Report on the Vendor Performance Tracking System at the Office of the Comptroller of Public Accounts and Its Use by the Texas Workforce Commission and the Parks and Wildlife Department (Report No. 19-042, July 2019)

Summary of Chapters and Related Issue Ratings

Chapter	Title	Issue Rating ^a
1-A	The Comptroller's Office Should Improve VPTS Controls to Ensure That Data is Valid and Access is Appropriate	High
1-B	The Comptroller's Office Should Improve Its Processes for Reviewing Reports Submitted to VPTS	High
2-A	The Commission Should Report All Required Contracts to VPTS and Follow Reporting Criteria	High
2-B	The Commission Should Ensure That It Reviews Information from VPTS Prior to Selecting Vendors as Required	High
3-A	The Department Should Report All Required Contracts to VPTS and Improve Compliance with VPTS Procedures When Submitting Vendor Reports	High
3-B	The Department Should Ensure That It Reviews Information from VPTS Prior to Selecting Vendors as Required	Medium

^a See report appendix 2 for the issue rating classifications and descriptions.



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Follow-up on Prior Recommendations

A Report on the Implementation Status of Prior
State Auditor's Office Recommendations

(Report No. 19-027, February 2019)

Report Reviewed: An Audit Report on the Texas Multiple Award Schedule (TXMAS) Contracts Program at the Office of the Comptroller of Public Accounts (State Auditor's Office Report No. 17-010, October 2016)

Recommendation Implementation Status: Fully Implemented



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Questions?

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